



## Sphere FTSE US Sustainable Yield Index ETF

### TRADING INFORMATION

Ticker Symbol	SHU
CUSIP	84841T103
ISIN	CA84841T1030
Index Ticker	FSY1USCH
Exchange	Toronto Stock Exchange
Currency	CAD
Inception Date	April 18, 2016

### ETF INFORMATION

Eligibility	RRSPs, RRIFs, RDSPs, DPSPs, RESPs & TFSA
Distributions	Quarterly
Management Fee	0.54%
Std Dev - 1 Yr	6.92
Sharpe Ratio - 1 Yr	2.39
P/E	21.3
P/B	3.7
Dividend Yield	2.65%
# of Holdings	150

### FUND OBJECTIVE

SHU seeks to replicate, to the extent reasonably possible and before fees and expenses, the performance of the FTSE USA Sustainable Yield 150 10% Capped 100% Hedge CAD Index, or any successor thereto. SHU invests directly or indirectly in up to 150 U.S. equity securities of public issuers exhibiting relatively high and sustainable yields, as at the time of reconstitution or rebalancing.

### BENCHMARK INDEX

FTSE USA Sustainable Yield 150 10% Capped 100% Hedge CAD Index

### WHY INVEST?

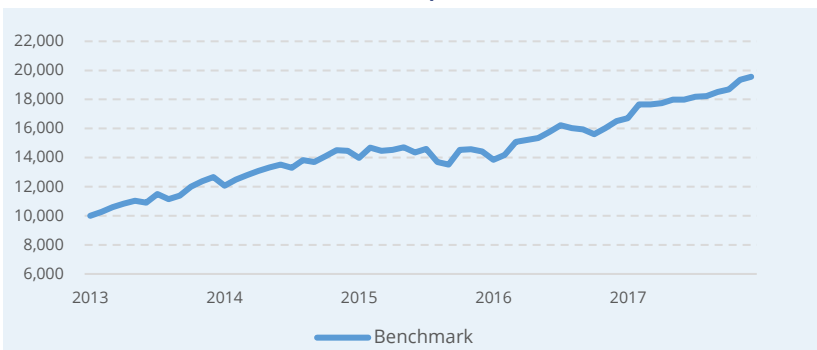
- Hold this ETF for yield enhancement and a balanced exposure to the US equity market. This ETF can act as a core holding as it provides a good risk return and enhanced yield.
- Invest in this fund as dividends paid by companies have comprised a significant portion of the total return of a stock over longer horizons.
- Buy this fund for the dividends of higher quality companies, which can temper stock market declines while providing solid returns in a stable market environment.

### PERFORMANCE HISTORY

Total Returns*	YTD	1y	3y	5y
SHU NAV	16.5	16.5	NA	NA
Benchmark Index Return	18.4	18.4	10.6	15.7

\* All returns are annualized except YTD

### GROWTH OF HYPOTHETICAL \$10,000



The fund's expenses are made up of the management fee, operating expenses and trading costs. The fund's annual management fee is 0.54% of the fund's value. Past performance does not indicate future performance.

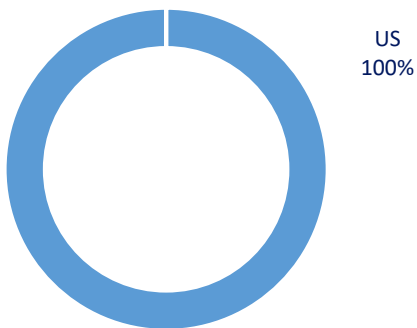
Source: Bloomberg

**TOP 10 HOLDINGS**

MICROSOFT CORP	8.4%
JOHNSON + JOHNSON	4.9%
BANK OF AMERICA CORP	3.8%
AT+T INC	3.1%
PROCTER + GAMBLE CO/THE	3.1%
HOME DEPOT INC	3.0%
VERIZON COMMUNICATIONS INC	2.8%
PFIZER INC	2.8%
COMCAST CORP CLASS A	2.5%
COCA COLA CO/THE	2.3%
<b>Subtotal</b>	<b>36.6%</b>

**SECTOR WEIGHTING**

HEALTH CARE	18.5%
CONSUMER STAPLES	16.8%
INFO TECH	14.8%
CONS DISCRETIONARY	12.7%
INDUSTRIALS	11.9%
FINANCIALS	7.2%
UTILITIES	5.9%
TELECOM	5.9%
REAL ESTATE	4.4%
MATERIALS	1.8%
CASH EQUIVALENT	0.1%
ENERGY	0.0%

**GEOGRAPHIC WEIGHTING**


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The following is a summary of the key features of the ETF. You can find more information about the fund in the prospectus. The prospectus is available on Sphere Investment's website at [www.sphereetfs.com](http://www.sphereetfs.com).

All investments involve risk. When you invest in the fund the value of your investment can go down as well as up. For description of the risks of this fund, see the fund's prospectus.

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The FTSE Sustainable Yield 150 10% Capped & 100% Hedged to CAD Indices were launched in August of 2015. Performance data prior to the inception of these indices is hypothetical back-tested. The hypothetical back-tested index performance data shown on page 1 has been calculated by FTSE Russell and is being presented on an "as is" basis. For more information on the applicable index methodology, please visit [http://www.ftse.com/products/downloads/FTSE\\_Sustainable\\_Yield\\_150\\_Capped\\_10\\_percent\\_Index\\_Series\\_Ground\\_Rules.pdf?334](http://www.ftse.com/products/downloads/FTSE_Sustainable_Yield_150_Capped_10_percent_Index_Series_Ground_Rules.pdf?334).

Index performance data has been included for illustrative purposes only and does not reflect any actual fund performance. Index performance data does not reflect management fees, transaction costs or other expenses. Indexes are unmanaged and one cannot invest directly in an index. Past performance is not necessarily indicative of future performance and actual fund returns may differ significantly. Sphere Investment Management Inc. does not warrant, either expressly or impliedly, the accuracy or completeness of the hypothetical back-tested performance data.